

JFW

Attorney Docket No. INET-001/00US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Volker Jens Berlin**

Serial No.: 10/743,772

Examiner: Not Yet Assigned

Confirmation No.: 9451

Art Unit: 2131

Filed: December 24, 2003

For: **TRANSLATION OF SECURE COMMUNICATIONS FOR HANDSHAKE
PROTOCOLS**

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form
PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.
- ☐ Please charge \$___ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

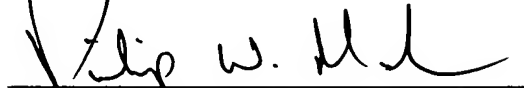
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: June 16, 2004

Cooley Godward LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (703) 456-8000
Fax: (703) 456-8100

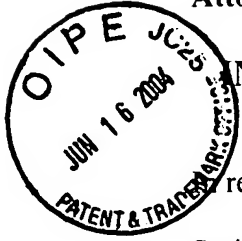
Respectfully submitted,
COOLEY GODWARD LLP

By:


Philip W. Marsh
Reg. No. 46,061

Attorney Docket No. INET-001/00US

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, copies of documents C1-C8 cited in the attached Form PTO/SB/08 are enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☒ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.

- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

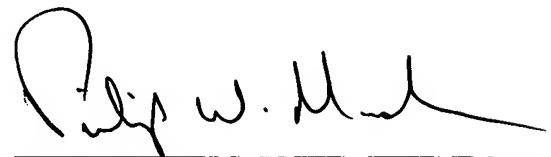
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: June 16, 2004

Cooley Godward LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (703) 456-8000
Fax: (703) 456-8100

Respectfully submitted,
COOLEY GODWARD LLP

By:



Philip W. Marsh
Reg. No. 46,061

Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/743,772		
		Filing Date	December 24, 2003		
		First Named Inventor	Volker Jens Berlin		
		Group Art Unit	2131		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	INET-001/00US

OTHER – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	"SQL Middleware: HiT JDBC/400", available at http://www.hitsw.com/products_services/sql400/jdbc400/jdbc400_dsheets.html .	
	C2	KABUTZ, Heinz, "Microsoft's JDBC Driver for SQL Server Stacks Up Against Competition", 2003, pp. 1-3, available at http://www.devx.com/Java/Article/16356 .	
	C3	"SQL Access for DB2 Middleware", available at http://www.microway.com.au/catalog/hit/db2_jdbc.stm .	
	C4	"SequeLink JDBC Driver Reference, Chapter 4, Using SSL Encryption", 1999, pp. 1-11, available at http://datadirect-technologies.com/download/docs/SequeLink/javaref/sslencry.htm .	
	C5	"FAQ-JDBC", IDS Software, 1997-2002, pp. 1-6, available at http://idssoftware.com/faq-j.html .	
	C6	DIERKS, T., et al., "The TLS Protocol Version 1.0", January 1999, pp. 1-75, available at http://www.ietf.org/rfc/rfc2246.txt .	
	C7	FREIER, Alan O., et al., "The SSL Protocol, Version 3.0", March 1996, available at http://wp.netscape.com/eng/ssl3/ssl-toc.html .	
	C8	"Java Secure Socket Extension (JSSE) Reference Guide for the Java 2 SDK, Standard Edition, v. 1.4.2", 1994-2004, Sun Microsystems, Inc., pp. 1-81, available at http://java.sun.com/j2se/1.4.2/docs/guide/security/jsse/JSSERefGuide.html .	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number.

² Applicant is to place a check mark here if English language Translation attached.